

# PMAC Montréal Regulatory & Compliance Afternoon 2022

Wednesday October 26, 2022

2:15 – 2:30 PM ET

Registration & Welcome

Presented by:



Co-Hosts:



**Riccardo Roméo**  
Portfolio Manager, CCO, VP  
Managing Director  
*Summus Investment Management*



**Vincent Mascolo**  
Chief Compliance Officer  
*Barrage Capital*

2:30 PM – 3:30 PM ET

Compliance Hot Topics

Presented by:

Aviso  
Correspondent  
Partners

Our panelists will help you to build a checklist of compliance priorities for 2023 including recent and upcoming regulatory changes. In addition to CSA initiatives, topics will include Bill 64, the new Québec privacy law, and FINTRAC's updated audit guidance.



**Helen Barfurth**  
Compliance Consultant  
*Heward Investment Management*



**Christian Faribault**  
Partner  
*Borden Ladner Gervais LLP*



**Élise Renaud**  
Partner  
*Fasken Martineau DuMoulin LLP*

3:30 PM – 3:35 PM ET

Break

3:35 PM – 4:35 PM ET

Autorité des marchés financiers - Regulatory Update

Presented by:



An in-depth conversation with senior staff from the AMF. This session will cover issues such as conflicts of interest, investment fund matters including sustainable finance and ESG-related funds, the proposed access-based disclosure model, the principal distributor initiative, the CSA Indigenous Working Group, and regulatory expectations for the year ahead as part of a discussion moderated by Alix d'Anglejan-Chatillon.



**Alix d'Anglejan-Chatillon**  
Partner  
*Stikeman Elliott LLP*



**Louis-Philippe Nadeau**  
Analyst  
*Autorité des marchés financiers*



**Marie-Aude Gosselin**  
Analyst  
*Autorité des marchés financiers*



**Olivier Girardeau**  
Senior Advisor on  
Sustainable Finance  
*Autorité des marchés financiers*



**Line de Villers**  
Inspector, Securities  
*Autorité des marchés financiers*

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